



CALL FOR PROPOSALS

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Hungary-Slovakia-Romania-Ukraine ENI CBC Programme 2014-2020

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1. Reference number of the Call for Proposals: HUSKROUA/1702

2. Date of publication: 17.05.2017

3. Programme and financing source: Hungary-Slovakia-Romania-Ukraine ENI Cross-border Cooperation Programme approved by EC Decision No. C(2015) 9180 of 17 December 2015.

4. Subject of the Call for Proposals: The present Call for Proposals is open for regular projects within Priorities: 3.1; 6.1; 7.1; 7.2; 8.1 and 8.2 of the Programme as indicated in table under section 10.

Regular projects are defined for the purpose of this call as either infrastructure, soft with an infrastructure component (in both cases the budget share for the acquisition of infrastructure is below 2,5 million EUR) or soft project, within the minimum and maximum grant size.

5. Eligible area (in the present Call for Proposals):

In Hungary:	In Slovakia:	In Romania:	In Ukraine:
Szabolcs-Szatmár-Bereg	Košický	Maramureş	Zakarpatska
Borsod-Abaúj-Zemplén (adjoining region with full participation)	Prešovský	Satu-Mare Suceava (adjoining region with limited participation rights)	Ivano-Frankivska Chernivetska (adjoining region with limited participation rights)

Please note that according to the Programme rules the organisations located in **adjoining areas** with limited participation are able to cooperate within the Programme with the restriction that they have to involve Applicants from Hungary or the Slovak Republic, are restricted to Thematic Objective 3, 6 and 7.

6. Eligible Applicants: In order to be eligible for a grant, Applicants **must be:**

- legal persons **and**
- public bodies, bodies governed by public law or non-profit making private bodies **and**
- established for the purposes of public interest or specific purpose of meeting needs of general interest, which may belong to one of the groups indicated in the following non-exhaustive list:
 - a. national, regional and local organisations implementing policies in the fields of relevant policies defined as programme priorities;
 - b. semi-public institutions like regional development associations and promoters, innovation and development agencies, research institutes and universities;
 - c. regional and local associations of enterprises (e.g. chambers of commerce, unions); professional organisations;

- d. regional, local and county self-governments and their organisations acting as legal entities, regional councils;
- e. EGTCs - the applicants must have their seats or a regional/local branch registered in the eligible programme area
- f. non-state actors such as:
 - non-governmental organisation;
 - organisation representing national and/or ethnic minorities;
 - local citizen groups and traders' associations;
 - cooperatives, trade unions, organisations representing economic and social interests;
 - local organisations (including networks) involved in the decentralised regional cooperation and integration;
 - consumer organisations, women's and youth organisations, teaching, cultural research and scientific organisations;
 - universities;
 - churches and religious associations and communities;
 - the media;
 - cross border associations, non-governmental associations and independent foundations **and**
- be nationals of one of the Programme participating countries: Hungary, Slovakia, Romania, Ukraine **and**
- have their operation of at least two years counted from the day of submission of the application **and**
- the Lead Applicant shall be directly responsible for the preparation and management of the project in cooperation with the other Applicant(s), not acting as an intermediary **and**
- have their headquarters or a registered regional/local branch having legal entity in the specified programme territorial units.

For the detailed description of eligibility of applicants please refer to Chapter **2.1.1 of the Guidelines for Applicants**.

7. Eligible partnerships: Partnerships must consist of at least one Partner from one of the Member States participating in the Programme and at least one Partner from Ukraine.

8. Indicative funds available: The overall indicative amount of **22,000,000 EUR** is available under this Call for Proposals from EU contribution. The Joint Monitoring Committee reserves the right not to award all available funds and to award more funds than available within this Call. The indicative allocation per Priority is defined in table under section 10 however the Joint Monitoring Committee may revise the allocation dedicated to the thematic objectives up to 20%.

For the detailed description of the eligible Projects and eligible costs please refer to Chapters **2.1.3 and 2.1.4 of the Guidelines for Applicants**.

9. Co-financing rate: EC grants may not finance the entire eligible cost of the Project of a Lead Beneficiary. No grant may exceed 90 % of the total forecasted eligible costs of the Project, nor be below 50%.

The balance must be financed from the Lead Beneficiary's or Beneficiaries' own resources, or from sources other than the EU's budget or the European Development Fund. The co-financing shall amount to at least 10% of the total eligible costs of the Project.

In case of grant Lead Beneficiaries/Beneficiaries registered in participating Member States maximum 5% of the total eligible costs of the Project (50% of the co-financing) will be funded from the national budget. The remaining minimum 5% of the total eligible costs of the Project (50% of the co-financing) has to be covered from the Lead Beneficiary's/Beneficiary's own contribution or from sources other than the European Community budget or the European Development Fund.

10. Project size: Any grant awarded under this Call for Proposals has to fall in the minimum and maximum amounts defined below per priority and the grant cannot be more than 90% of the total eligible costs of the Project.

Thematic Objective	Priority	allocation per Priority in EUR	Minimum amount in EUR	Maximum amount in EUR	% of the budget per Priority
Thematic Objective 3: Promotion of local culture and preservation of historical heritage	Priority 1 Promoting local culture and history along with tourism functions	7,000,000	50,000	1,000,000	59,04%
Thematic Objective 6: Environmental protection, climate change mitigation and adaptation	Priority 1 Sustainable use of the environment in the cross border area - preservation of natural resources, actions to reduce GHG emission and pollution of rivers	3,000,000	100,000	1,500,000	21,4%
Thematic Objective 7: Improvement of accessibility to the regions, development of sustainable and climate-proof transport and communication networks and systems	Priority 1 Development of transport infrastructure to improve the mobility of persons and goods	3,000,000	100,000	1,500,000	28,12%
	Priority 2 Development of ICT infrastructure and information sharing	1,500,000	100,000	1,500,000	14,06%

Thematic Objective 8: Common challenges in the field of safety and security	Priority 1 Support to joint activities for the prevention of natural and man-made disasters as well as joint action during emergency situations	2,500,000	100,000	1,500,000	25,85%
	Priority 1 Support to the development of health	5,000,000	50,000	1,000,000	51,7%

11. Project duration: The planned duration of a Project implementation may not be lower than 12 months nor exceed 36 months.

12. Language of the project application: Applicants must apply in English.

13. Submission of the applications:

Applications must be submitted online via IMIS 2014-2020 Application Module according to the rules set in Section 2.3 of the Guidelines for Applicants.

NOTE: Please be aware that the IMIS 2014-2020 online application module system will be available for Applicants and may be accessed approximately in the middle of June 2017!

The Guidelines for Applicants will be supplemented by the User's Manual IMIS Application Module once the online module will be available. Meanwhile the offline template of the Grant Application Form is to be used as informative material as it reflects the content of the online module.

For detailed rules on the submission of applications please refer to **Chapter 2.3 of the Guidelines for Applicants.**

15. Submission deadline is 15 September 2017 at 12:00 PM CET. At the time of the electronic submission of the Application, IMIS 2014-2020 generates a Certificate, which shall be stamped and signed by the legal representative(s) of the Lead Applicant and uploaded online within the deadline. Any application submitted after the deadline will automatically be rejected.

16. Selection process: The description of the selection process can be found in Chapter 2.4 of the Guidelines for Applicants. The administrative and eligibility check followed by the evaluation of the application will only begin after the deadline for submission of proposals. As a consequence, the project proposals will not be evaluated in the order they are submitted.

Results of the Second Call for Proposals: provisional notification date of the results of the Second Call for Proposals is 30 March 2017.

17. Application package: Application package is comprised of the following documents:

- GRANT APPLICATION FORM - to be used as informative material as it reflects offline the content of the online module; INCLUDING DESCRIPTION OF ACTIVITIES, TIME PLAN, PROCUREMENT PLAN, COMMUNICATION PLAN, RISK ANALYSIS & CONTINGENCY PLAN, LOGICAL FRAMEWORK MATRIX, LIST OF PROJECT TEAM AND CVS OF THE KEY PERMANENT STAFF OF LEAD APPLICANT/APPLICANT(S) WHICH WILL BE INVOLVED IN THE PROJECT IMPLEMENTATION)

NOTE:

- PLEASE ONLY USE THE GRANT APPLICATION FORM IN ORDER TO GET FAMILIAR OFFLINE WITH THE CONTENT OF THE IMIS MODULE TO BE MADE AVAILABLE APPROXIMATELY IN MID JUNE 2017.
- THE ANNEXES BELOW, THE SUPPORTING DOCUMENTS AND THE ADDITIONAL SUPPORTING DOCUMENTS WILL ALSO BE AVAILABLE FOR DOWNLOAD IN THE SAME FORMAT AND CONTENT AS THE ONES BELOW:
- ANNEX 1: DECLARATION BY THE LEAD APPLICANT
- ANNEX 2: DECLARATION(S) BY THE APPLICANT(S)
- ANNEX 3: STATE AID DECLARATION FOR APPLICANTS IN MEMBER STATES
- ANNEX 4: STATE AID DECLARATION FOR APPLICANTS IN UKRAINE
- ANNEX 5: LEGAL ENTITY FORM
- ANNEX 6: FINANCIAL IDENTIFICATION FORM
- BUDGET OF THE PROJECT (EXCEL FORMAT)
- FINANCIAL PLAN OF THE PROJECT (EXCEL FORMAT)
- FINANCIAL CAPACITY (WORD FORMAT)

SUPPORTING DOCUMENTS:

1. THE STATUTES OR ARTICLES OF ASSOCIATION OF THE LEAD APPLICANT ORGANISATION IN ORIGINAL LANGUAGE ACCOMPANIED WITH BRIEF ENGLISH SUMMARY
2. THE STATUTES OR ARTICLES OF ASSOCIATION OF EACH APPLICANT ORGANISATION IN ORIGINAL LANGUAGE ACCOMPANIED WITH BRIEF ENGLISH SUMMARY
3. COPY OF THE LEAD APPLICANT'S AND ALL APPLICANTS' APPROVED AND AUDITED ANNUAL ACCOUNTS FOR THE LAST TWO FINANCIALLY CLOSED YEARS
4. ORIGINAL SPECIMEN OF SIGNATURE OF THE LEGALLY AUTHORIZED REPRESENTATIVE OF THE LEAD APPLICANT ORGANIZATION ISSUED BY A NOTARY PUBLIC

ADDITIONAL SUPPORTING DOCUMENTS IN CASE OF INVESTMENT PROJECTS:

5. FULL FEASIBILITY STUDY
6. ENVIRONMENTAL IMPACT ASSESSMENT
7. BUILDING PERMIT
8. EVIDENCE OF OWNERSHIP BY THE BENEFICIARIES OR ACCESS TO THE LAND
9. PROJECT TECHNICAL DOCUMENTATION

DOCUMENTS FOR INFORMATION:

- GRANT CONTRACT
- PARTNERSHIP AGREEMENT
- INDICATIVE LIST OF ELIGIBLE APPLICANTS – SLOVAK REPUBLIC

The whole Application package and other relevant documents (e.g. the Joint Operational Programme, Frequently Asked Questions, etc.) are available at www.huskroua-cbc.eu